

Docket No. 99-1CIP1DIV1  
PATENT

## CERTIFICATE OF MAILING

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BY:

Suzanne Shadley

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Bergh, et al.  
 Serial No.: 09/728,732  
 Filed: 11/28/2000  
 For: Methods For Identifying and  
 Optimizing Catalysts In Parallel-  
 flow Microreactors



Group Art Unit: 1764  
 Confirmation No.: 7350  
 Examiner: Unknown

Assistant Commissioner for Patents  
 Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- |  |  |
|--|--|
| <input type="checkbox"/> Preliminary Amendment             | <input type="checkbox"/> Supplemental Information Disclosure Statement |
| <input type="checkbox"/> Response/Amendment                | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2)              |
| <input type="checkbox"/> Response/Amendment After Final    | <input type="checkbox"/> Formal Drawings                               |
| <input type="checkbox"/> Supplemental Amendment            | <input type="checkbox"/> Declaration Under 37 CFR 1.131                |
| <input type="checkbox"/> Affidavits/Declarations           | <input type="checkbox"/> Declaration Under 37 CFR 1.132                |
| <input type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Terminal Disclaimer                           |
| <input type="checkbox"/> Supplemental Declaration          | <input type="checkbox"/> Small Entity Statement                        |
| <input type="checkbox"/> Associate Power of Attorney       | <input checked="" type="checkbox"/> Request to Correct Filing Receipt  |
| <input type="checkbox"/> Change of Correspondence Address  | <input type="checkbox"/> Appeal  |
| <input type="checkbox"/> Associate Power of Attorney       | <input type="checkbox"/> Petition                                      |
| <input type="checkbox"/> Response to Missing Parts         | <input type="checkbox"/> Status Letter                                 |

to be filed in the above-identified patent application.

☒ No fee is required.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 50-0496.

A duplicate copy of this Transmittal Letter is transmitted herewith.

RECEIVED  
 JUN 21 2002  
 TC 1700

Date: May 28, 2002

Symyx Technologies, Inc.  
3100 Central Expressway  
Santa Clara, California 95051  
Tel.: (408) 764-2000  
Fax: (408) 773-4029

Respectfully submitted,



Paul A. Stone  
Reg. No. 38,628  
Attorney for Applicant(s)

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BY:

Suzanne Shadley  
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Examiner: Unknown

Assistant Commissioner for Patents  
Washington, DC 20231  
ATTN: Office of Initial Patent Examination's  
Customer Service Center

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REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Dear Sir:

Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the Title, which should be as follows:

Methods For Identifying and Optimizing Catalysts In Parallel-Flow Microreactors

These corrections are not due to any error by applicant. Therefore, no fee is due.

Respectfully submitted,

Paul A. Stone

Paul A. Stone  
Registration No. 38,628  
Attorney for Applicant(s)

Date

May 28, 2002

Symyx Technologies, Inc.  
3100 Central Expressway  
Santa Clara, CA 95051  
Tel: (408) 764-2000  
Fax: (408) 773-4029

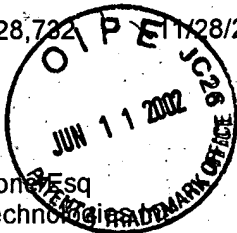


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/728,732	03/28/2000	1764	1238	99-1CIP1DIV1	46	52	10

Paul A Stone Esq  
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Santa Clara, CA 95051



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APR 27 2001

Symyx Technologies  
Legal Department

✓ MCK  
✓ PAT

CONFIRMATION NO. 7350

## FILING RECEIPT



\*OC000000005990462\*

Date Mailed: 04/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- ✓ H. Sam Bergh, San Francisco, CA;
- ✓ Shenheng Guan, San Jose, CA;

## Domestic Priority data as claimed by applicant

- ✓ THIS APPLICATION IS A DIV OF 09/518,794 03/03/2000  
AND CLAIMS BENEFIT OF 60/122,704 03/03/1999

## Foreign Applications

If Required, Foreign Filing License Granted 04/18/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

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JUN 21 2002  
TC 1700

Methods for identifying and optimizing catalysts in parallel-flow microreactors

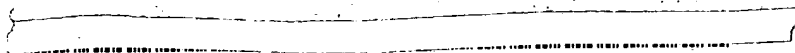
Preliminary Class

422

Data entry by : SALEEKUL, SIRILUCK

Team : OIPE

Date: 04/20/2001



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**Title 35, United States Code, Section 184**  
**Title 37, Cod of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231